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# CONSTITUTIONAL SAFEGUARDS FOR CIVIL SERVANTS IN INDIA: AN ANALYTICAL STUDY OF ADMINISTRATIVE SERVICE TRIBUNALS

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## ABSTRACT

The civil services in India constitute the administrative foundation of governance, policy implementation, and public service delivery. Given their critical role in maintaining continuity and neutrality in the administrative process, civil servants are afforded special constitutional protections under Articles 309, 310, and 311 of the Indian Constitution. These provisions aim to shield public servants from arbitrary dismissal, undue political interference, and unfair disciplinary actions, thereby ensuring a stable, impartial, and efficient administrative system. While constitutional protections and the establishment of Administrative Tribunals have strengthened civil servants' rights, issues like delays, executive influence in appointments, and weak enforcement continue to hinder their effectiveness. Overlapping jurisdictions and procedural gaps further dilute their impact.

This research paper explores the dual framework of constitutional safeguards and institutional adjudication in the context of civil service protection in India. It provides an in-depth analysis of the legal provisions that secure the tenure and service rights of civil servants and examines the operation of Administrative Service Tribunals particularly the Central Administrative Tribunal (CAT) and various State Administrative Tribunals (SATs) established under Article 323-A of the Constitution and the Administrative Tribunals Act, 1985.

The study critically evaluates the effectiveness of these tribunals in delivering timely and impartial justice, their relationship with the judiciary post *L. Chandra Kumar v. Union of India*<sup>1</sup>, and the procedural challenges they face in terms of delay, executive control, and enforcement of orders. The paper discusses landmark judicial interpretations that have shaped the jurisprudence of service law, including the scope and limitations of the “doctrine of pleasure” and the exceptions to due process under Article 311.

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<sup>1</sup> L. Chandra Kumar vs Union Of India, AIR 1997 SC 1125.

**Keywords:** Civil Servants, Constitutional Safeguards, Article 311, Administrative Tribunals, Public Employment, Doctrine of Pleasure, Judicial Review.

## 1. Introduction

“Administration is meant to achieve something and not to exist in some kind of an ivory tower following certain rules of procedure and Narcissus-like looking on itself with complete satisfaction. The test after all, is the human being and their welfare.”

– Pandit Jawaharlal Nehru

In India government is the biggest provider of jobs to the people. According to an estimate in 1947, the strength of civil servants was 10 lakhs which rose to 20 lakhs in 1978 and became 30 lakhs in 1993. As of 2010, there were 6.4 million government employees in India in all levels (Group A to D) within the central and state governments. However, this does not include the jobs in public sector undertakings. Maximum number of jobs provided by the government are in defence, railways, and Post offices. This tremendous growth in civil services was mainly due to the fact that without a big army of civil servants it was not possible to realize the dream of a welfare state which was the cornerstone of the India. So that "Civil services is a body of officials, permanent, paid and skilled."<sup>2</sup>

For the administration and the government of the country to function efficiently and sincerely, a troop of well-trained civil servants becomes the *sine qua non*. In the modern administrative age, Government service becomes indispensable for the good governance of the Nation. In India, in accordance with to a survey or a rough estimate, the Government emerges as a powerful device in providing employment to a large group of people, and for this reason the concept of civil services evolved and developed during the British period is still the most sought for and a prestigious and coveted service. Therefore, it would be no exaggeration to say, that an efficient civil service is an indispensable means for the attainment of the socio-economic ideals of a welfare state as the end.<sup>3</sup>

In *Nabendu Bose v. Union of India*, the Supreme Court observed:

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<sup>2</sup> Def by Herman Finer.

<sup>3</sup> Prof U.P.D Kesari, *Lectures on Administrative Law*, 574 (23<sup>rd</sup> ed., 2022).

“Civil servants, that is, persons who are members of a civil service of the Union of India or an all-India Services or a civil service of a State or who hold a civil post under the Union of a State, occupy in law a special position. The ordinary law of master and servant does not apply to them.”<sup>4</sup>

India is the only country where law relating to service matters of the civil servants is provided in the Constitution. Because the members of Indian Civil Services, who were considered to be the steel frame of the British Government of India wanted that the conditions of service be protected through the Constitution and that the civil services of independent India be also protected by the Constitution so that the services in India could remain immune from the political vagaries.

## 2. History of civil services

It emerges from the discussion that in Indian history, civil services have a lengthy history.<sup>5</sup> The Mauryans, Mughals, and other historical monarchs adopted the main components of the administrative system of the past, which were covered in detail in Kautilya's Artha Sastra.<sup>6</sup> Although Kautilya does not refer directly to the rules about promotion and transfer, however, he does mention that those who increase the king's revenue instead of eating it up, are loyally devoted to him, shall be made permanent in service.<sup>7</sup> In ancient India, the concept of good governance was there and good governance without the appointment of a public servant was impossible. Kautilya emphasized the importance of the King's duty to maintain law and order, protecting the people's life and liberty.

Effective policing and maintaining law are essential components of good governance. The King, as the head of the judiciary, was responsible for enforcing the law and ensuring justice was properly administered. An established legal framework based on the rule of law was crucial for good governance. The state and its authorities also formulated rules to govern the politico-administrative system, ensuring the rule of law and order. However, Artha shastra is a textbook

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<sup>4</sup> Nabendu Bose v. Union of India, MANU/ SC/ 0500/ 1985.

<sup>5</sup> K. Gireesan and Nayakara Veerasha, *Refurbishing of Civil Services in India: An Appraisal of Second ARC'S Report*, 72(3) The Indian Journal of Political Science, 721-730 (2011).

<sup>6</sup> L. N. Rangarajan, *Kautilya: The Arthashastra*, (Penguin Books, New Delhi, 1992).

<sup>7</sup> Ashwani Kumar, *The Structure and Principles of Public Organization in Kautilya's Arthashastra*, 66(3) The Indian Journal of Political Science, 463-488, 479 (2005).

on administration in a monarchical state.<sup>8</sup>

Despite having a monarchical state during the time of Kautilya, Artha Shastra laid down strict norms for the heads of departments and officers of the government, ensuring strict conduct and control. Officers who failed to fulfil their duties were fined twice their pay. The structure and process of civil services in India were evolved during the British period with the efforts of eminent persons as Macaulay, Islington etc. During the rule of East India Company, the civil servants were appointed India, by a Selection Committee of Haileybury college and Board of Directors of the Company.

In 1854, the Committee on Indian Services was constituted under the chairmanship of Lord Macaulay to suggest reforms in the civil services, and it led to the introduction of the principle of merit-based career oriented civil services. Earlier the civil services were divided into two categories-First, the covenanted civil services which consisted of British Civil Servants occupying the higher posts in the administration. Second, the uncovenanted civil services which were introduced to facilitate the entry of Indians at the lower rung of the administration.

Between 1886 to 1923, three important commissions were appointed to study and make suggestions regarding civil services in India.

(1) Aitchison Commission

(2) Islington Commission

(3) Lee Commission

The Government of India Act, 1919 was the first legal measure which reorganised the Imperial Civil Service in the present form. Finally, under the Government of India Act, 1935, the Union Public Service Commission and the Commissions were established to make Provincial Public Service recruitments to the services.

### **3. Constitutional Safeguards & Institutional Adjudication for Civil Servants**

In order to ensure the progress of the country it is essential to strengthen the administration by

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<sup>8</sup> Romila Thapar, *Cultural Pasts: Essays in Early Indian History*, 411 (Oxford University Press, New Delhi, 2005).

protecting civil servants from political and personal influence.<sup>9</sup> So provisions have been included in the Constitution of India to protect the interest of civil servants along with the protection of national security and public interest. Part XIV of the Constitution of India containing Articles 308 to 323 deals with Services under The Union and The State.

### 3.1 Article 308 provides: -

'In this part unless the context otherwise requires, the expression state<sup>10</sup> does not include the State of J. & K. The term 'State' under Chapter XIV dealing with civil services does not fall within the ambit of the term 'State' as incorporated under Article 12, as the scope of the term 'State' under Chapter XIV is narrow and constricted, and hence, it does not include Panchayats, local bodies, public corporations, and Government companies unless the law otherwise provides.<sup>11</sup>

Thus, the term 'State' in Chapter XIV means only a State as specified in Part A or B of the First Schedule of the Constitution.<sup>12</sup>

### 3.2 Article 309 (1) provides that

"Acts of the appropriate Legislature may regulate the recruitment, and conditions of service of persons appointed, to public services and posts in connection with the affairs of the Union or of any body.<sup>13</sup> Article 309(1), however, does not make it mandatory for the Parliament or the State Legislature, as the case may be, to make laws for the said purpose.

Until the appropriate Legislature makes such laws, the Article authorises the President or such person as he may direct and the Governor of the State or such person as he may direct, to make rules for the aforesaid purpose in relation to the services under the Union or the State, respectively. The rules so made by the President or the Governor or any person authorised him, shall have effect subject to the provisions of any Act enacted by the appropriate legislature.

Article 309 in its very beginning says-"Subject to the provisions of the Constitution", which

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<sup>9</sup> Ekta Gahlawat, *Accountability Of Civil Servants Under Indian Laws: A Critical Analysis*, 7 Administrative Development: A Journal of HIPA, 178, 180 (2020).

<sup>10</sup> Substituted by the Constitution (Seventh Amendment) Act, 1955.

<sup>11</sup> In the State of Gujarat Panchayats, are included under the term 'state' within art 508.

<sup>12</sup> Constitution (Seventh Amendment) Act, 1955.

<sup>13</sup> Art 309(1), Constitution of India, 1950.

very candidly suggests that the conditions of services must conform to the provisions of the Constitution which are mandatory, whether they are laid down by the Legislature or prescribed by the rules, as for example-Articles 310, 311 and 320. Moreover, Articles 14 and 16 of the Constitution also, should not be violated.

In *West Bengal State Electricity Board v. Desh Bandhu Ghosh*,<sup>14</sup> it was held that the services rules providing for termination of services on three months' notice on either side was arbitrary and thus violative of Article 14 of the Constitution. Similarly, in *Shyam Lal v. State of U.P.*,<sup>15</sup> the Court observed that a Rule providing for compulsory retirement did not contravene either Art. 309 or 311. But rules dealing with the functions of the Public Service Commission are not rules relating to recruitment and, therefore, are not statutory rules of the nature provided by the proviso of Article 309.<sup>16</sup>

In *Union of India v. Tushar Ranjan Mohanty*,<sup>17</sup> the Court held that the power under Article 309 to make laws with retrospective effect cannot be exercised to nullify a vested right. The Government can also issue instructions, which may be binding if they are not contrary to any existing law or rule, besides making rules.

### **3.3 Doctrine of Pleasure**

The pleasure doctrine has been imported from England. Common law doctrine of pleasure is based on the principle of public policy in order to make civil servants responsible to the Government and responsive to the people. In common-law; the doctrine implies that civil service is not a contract and hence service can be terminated at any time without assigning any reason and civil servant cannot enforce any condition of his service in a court of law and cannot claim damages or arrears of salary against the Government. In this common-law doctrine Parliament has now made many inroads by legislation relating to employment, social security and labour relations.<sup>18</sup>

Doctrine of pleasure as developed in England has not been accepted in full in India. It is subject to the provisions of Article 311 which lays down procedural safeguards for civil servants.

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<sup>14</sup> *West Bengal State Electricity Board v. Desh Bandhu Ghosh*, (1985) 3 SCC 116.

<sup>15</sup> *Shyam Lal v. State of U.P.*, AIR 1954 SC 369.

<sup>16</sup> *B.N Nagarajun v. state of Mysore*, AIR 1966 SC 1942.

<sup>17</sup> *Union of India v. Tushar Ranjan Mohanty*, (1994) 5 SCC 450.

<sup>18</sup> *Supra* 9.

Article 310(1) of the Constitution<sup>19</sup> incorporates the doctrine of pleasure or it can be said that it contains provisions regarding tenure of office of civil servants. This provision has been borrowed from England where the normal rule is that a civil servant of the Crown holds office during the pleasure of the Crown. Thus, his service can be terminated at any time by the Crown without assigning any reason. It means that if a civil servant is dismissed from service, he cannot claim arrears of salary or damages for premature termination of service.

The doctrine of pleasure is based on public policy. It derives its force from Article 310 in which it has been mentioned that every person who is a member of a defence service or of a civil service of the Union or of an all India Service holds office during the pleasure of the President and every person who is a member of a civil service of a State or holds any civil post under a State holds office during the pleasure of the Governor of the State. But this principle of English law has not been accepted in its entirety and is subject to the provisions of the Constitution-Art. 311 which provides for certain safeguards for civil servants, whose services cannot be terminated without complying with the provisions of Article 311.<sup>20</sup>

Another restriction on the Doctrine of Pleasure is the law of the land in accordance with which a suit could be enforced in a Court of law by the civil servant to compel either the payment of arrears or any other condition of his service. The power of the President or the Governor to dismiss a civil servant at pleasure is not a personal right.

Recently, in *T.R.S. Subramanian v. Union of India*<sup>21</sup>, the Supreme Court observed that under the Articles 309 and 310 of Constitution of India, Administrative Reforms Committee ensuring better working relationship between political executive and civil servants. The matter of transfer, posting and disciplinary actions against civil servants under the Constitution of Civil Service Board's to guide and advice directly to Government of India. In this case court has also observed that the Central Government, State Government and Union Territories, to providing fixed tenure for a civil servant to ensure stability and efficiency of administration.

In *K. Rajendran v. State of T.N.*,<sup>22</sup> it was held that the question whether a person whose services are terminated as a result of the abolition of post should be rehabilitated by giving alternative

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<sup>19</sup> Art 310(1), Constitution of India, 1950, 1950.

<sup>20</sup> *Samsher Singh v. State of Punjab*, (1974) 2 SCC 831.

<sup>21</sup> *T.R.S. Subramanian v. Union of India*, AIR 2014 SC 263.

<sup>22</sup> *K. Rajendran v. State of T.N.*, AIR 1982 SC 1107.

employment is a matter of policy and the Courts have no say in it.

In *Moti Ram v. N.E. Frontier Railways*,<sup>23</sup> it was held that termination of services of permanent employees by giving them notice for the mentioned period under Rules 148(3) and 149(3) violated Article 311.

### **3.4 Limitations on the Doctrine of Pleasure**

Although Article 310 of the Constitution embodies the English principle that a civil servant holds office “during the pleasure” of the President or Governor, this power is not absolute. The Constitution itself imposes significant limitations to prevent arbitrariness and ensure fairness in public employment.

#### **1. Fundamental Rights Safeguards (Articles 14, 15 and 16):**

The President or Governor cannot exercise the doctrine of pleasure in a discriminatory or arbitrary manner.

- Article 14 guarantees equality before the law and prohibits arbitrary termination of service.
- Article 15 forbids discrimination in public employment on grounds of religion, race, caste, sex, or place of birth.
- Article 16(1) provides for equality of opportunity in matters of public employment, thereby restricting arbitrary action by the State.

#### **2. Consultation with Public Service Commissions (Article 320):**

Under Article 320(3)(c), the Union or State Public Service Commission must be consulted in disciplinary matters affecting civil servants. This procedural requirement acts as an additional check on arbitrary decisions.

#### **3. Specific Protections under Article 311:**

Article 311 places two important restrictions on the pleasure doctrine:

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<sup>23</sup> *Moti Ram v. N.E. Frontier Railways*, AIR 1964 SC 600, 617.

- **Authority Restriction:** A civil servant cannot be dismissed or removed by an authority subordinate to the one that made the appointment.
- **Procedural Protection:** No dismissal, removal or reduction in rank can be affected without holding an inquiry where the employee is informed of the charges and given a reasonable opportunity of being heard, except in specified exceptional situations (such as national security or criminal conviction).

Together, these constitutional provisions transform the doctrine of pleasure in India from an unfettered executive prerogative into a qualified power subject to fairness, equality, and procedural safeguards. Article 311(2)<sup>24</sup> is attracted only when a civil servant is "reduced in Rank", "Dismissed" or "removed" against his will before the expiration of period of his tenure.

The two tests-

- a) Whether the employee has right to hold the post?
- b) Whether the employee has been visited with evil consequences? (evil consequences mean civil or penal consequences) were laid down by the Supreme Court in *Parshotam Lal Dhingra v. Union of India*<sup>25</sup>, to determine whether the dismissal or removal or reduction in rank is by the way of punishment.

In *Union of India v. Raghuwar Pal Singh*,<sup>26</sup> the Apex court held that the order being termination simpliciter is no reflection on the conduct of the respondent. It merely explicates that his appointment was illegal having been made without prior approval which was required by the competent authority. The order is not stigmatic. If there is any irregularity in the appointment process that could have been enquired into by the department but without taking recourse to any inquiry, the termination order has been issued was violative of principles of natural justice and Article 311 (2) of the Constitution of India. Court further held that giving opportunity of hearing to the respondent before issuance of the subject office order was not an essential requirement and it would be an exercise in futility.

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<sup>24</sup> Art 311(2), Constitution of India, 1950

<sup>25</sup> *Parshotam Lal Dhingra v. Union of India*, AIR 1958 SC 36.

<sup>26</sup> *Union of India v. Raghuwar Pal Singh*, (2018) 15 SCC 463.

'Reversion' generally means the posting of a government servant to officiate in a higher post to his original or substantive post. The civil servant who has right to hold post will be given safeguards under Article 311 of the Constitution of India on his reversion to the lower post or grade if that reversion attaches stigma.<sup>27</sup> Reversion to a lower post does not per se amount to a stigma.<sup>28</sup> If the order for reverting the civil servant to lower post or grade does not contain any imputation but it seems innocuous on the face, the appropriate authority will see whether it was made by the way of punishment. It is tested by whether the misconduct is a mere motive or is the very foundation of the order.<sup>29</sup>

### 3.5 Extent to protection

Protection of Article 311 shall not be available in case of compulsory retirement in public interest.<sup>30</sup> or termination of service during probation<sup>31</sup> or termination of service which was temporary and for a fixed period or reversion from an officiating post provided for the termination of service is bona fide and simplicitor which does not attach any stigma to the employee.

In some recent years Supreme Court has extended the Court's jurisdiction and those cases have been discussed here under the same topics-

- a. **In cases of compulsory retirement** - In *Baikunth Das v. Chief District Medical Officer*,<sup>32</sup> the matter of compulsory retirement decision rests on subjective satisfaction and it is not considered a punishment or any suggestion of misbehaviour. The proceedings are also not quasi-judicial; therefore, principles of natural justice are not attracted. However, it can be challenged on the ground of mala fide, no evidence or arbitrariness.
- b. **In case of termination of service during probation** - the government has unfettered power to terminate the service of a probationer or a temporary civil servant without any protection of Article 311 being available to him.

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<sup>27</sup> Mandagadde Rama Jois, *Services under the State*, 242 (Upendra Baxi, 2<sup>nd</sup> ed., 2007).

<sup>28</sup> *Debesh Chandra Das v. Union of India*, 1969 SLR 485.

<sup>29</sup> *State of Bihar v. Shiv Bhikshuk*, 1970 SLR 863.

<sup>30</sup> *State of UP v. Madan Mohan Nagar*, AIR 1967 SC 1260.

<sup>31</sup> *State of Maharashtra v. V.R. Saboji*, (1997) 4 SCC 466.

<sup>32</sup> *Baikunth Das v. Chief District Medical Officer*, (1992) 2 SCC 299.

However, Supreme Court in *State of Maharashtra v. V.R. Saboji*,<sup>33</sup> observed that in case of termination of service of a probationer or a temporary staff the Court may ask the government to produce its records if the government servant makes out prima facie case, that the order was by way of punishment.

Thus, where the termination of service of a temporary employee was preceded by a show-cause notice which was not pursued and his service were terminated on the ground that his service was purely temporary, the Court quashed the termination order when it was proved that there was nothing on record against the employee except the show-cause notice.

In case of *Champaklal v. Union of India*,<sup>34</sup> the Court has further held that the protection of Article 311 is available to a quasi-permanent employee also. The protection of Article 311(1) is available in case of dismissal or removal from service but the protection of Article 311 (2) applies in case of reduction in rank.”

#### 4. Procedural Safeguards for Civil Servants

##### 4.1 Right to Notice of Charges

- Before any disciplinary action (dismissal, removal, or reduction in rank), the civil servant must be served with a **written statement of the charges**. Kesari emphasizes that the notice must be specific enough concise yet clear as to what the allegations are.
- The civil servant should have time to prepare a defence once notice is given.
- In *Santosh Kumar Dutt v. Comm. of Police*<sup>35</sup>, a member of the Calcutta Police was appointed by the Commissioner of Police but was dismissed by the Deputy Commissioner of Police, therefore, the order was regarded as being violative of Art 311(1). But this does not mean that the authority which appointed or one who dismissed should be the same person. It is sufficient if both the authorities are of the same rank and grade.

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<sup>33</sup> *State of Maharashtra v. V.R. Saboji*, (1979) 4 SCC 466: AIR 1980 SC 886.

<sup>34</sup> *Champaklal v. Union of India*, AIR 1964 SC 1854.

<sup>35</sup> *Santosh Kumar Dutt v. Comm. of Police*, AIR 1955 Cal. 81.

#### 4.2 Right to be Heard (Audi Alteram Partem)

- Clause (2) of Art. 311 says-No civil servant shall be dismissed or removed or reduced in rank except after an inquiry in which he has been informed of the charges against him and given a reasonable opportunity to defend himself against those charges. That includes appearing before a competent tribunal or authority, submitting evidence, making arguments, calling witnesses.
- Sukhbans Singh v. State of Punjab<sup>36</sup> points out that this is intrinsic to Article 311's requirement; without such hearing, any dismissal or removal is void in the eyes of law.

#### 4.3 Right to an Impartial Authority / Tribunal

- The person conducting the inquiry must not be biased or hold prejudice. It refers to judicial precedents that enforce the rule *nemo iudex in causa sua* (no one should be judge in their own cause).
- The adjudicating authority should have no interest in the case, or pecuniary or personal stake.

#### 4.4 Requirement of a Proper Inquiry

- Article 311 mandates an inquiry, except under limited exceptions (conviction in criminal case, or when inquiry not reasonably practicable, or national security). It underscores that the inquiry must be fair and follow due process.
- The rules governing inquiry (who conducts it, procedure, evidence etc.) must be known (either by statute or by service rules) so that the civil servant can effectively participate.
- In *Union of India v. Amit Singh*,<sup>37</sup> respondent was terminated on ground of suppression of fact about his involvement in criminal case. Supreme Court allowed the respondent to file representation before the appointing authority and directed the authority to pass a speaking order after affording an opportunity of hearing and verification of the

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<sup>36</sup> Sukhbans Singh v. State of Punjab, AIR 1962SC 1711.

<sup>37</sup> Union of India v. Amit Singh, (2018), SCC 293.

antecedents of the respondent, his conduct during the service period and his tenure of service.

#### 4.5 Serving of Written Order with Reasons

- After the hearing and consideration of evidence, the decision (order of dismissal, removal or other disciplinary action) must reasonably set out the findings and reasons. This is essential for fairness, for enabling judicial review and appeal. The decision must indicate on what basis the charges were sustained or rejected.

#### 4.6 Right to Appeal or Review / Reviewability

- While it must be noted that tribunal decisions or administrative decisions are often final in their sphere, he also refers to the role of judicial review under Articles 226/227 (High Courts) (especially post *L. Chandra Kumar*) as a safeguard against excess.
- Sometimes internal departmental appellate mechanisms are required by service rules; such appeals must also respect natural justice.

#### 4.7 Protection under Articles 14, 15 & 16

- Kesari explains that any administrative or disciplinary action, even under the pleasure doctrine or removed via disciplinary processes, must not violate equality (Art 14), discrimination (Art 15), or equality of opportunity in public employment (Art 16). These act as substantive checks on arbitrary action, ensuring procedural safeguards are not a façade.

#### 4.8 Protection of employees who acquire disability during service

- According to the Persons with Disabilities (Equal Opportunities, Protection of Rights and Full Participation) Act, 1995, -No person can be dispensed with or reduced in rank, if he acquires disability during his service. In *State of Bihar v. M/s. Supreme Brahmputra (IV)*,<sup>38</sup> Supreme Court observed that termination of Service of any member of the Arbitration Tribunal would interfere directly with the

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<sup>38</sup> *State of Bihar v. M/s. Supreme Brahmputra (IV)*, AIR 2018 SC 2640.

impartiality and independence expected from such member. The provision was held unconstitutional being manifestly arbitrary and contrary to rule of law.

#### 4.9 Suspended Employee must follow service rules

- Upholding the dismissal of a Punjab police officer for abstaining from duty while under suspension, the Supreme Court, rejecting the argument that an employee under suspension need not apply for leave, held that the employee under suspension has to follow the service rules as they were applicable to him while in regular service.<sup>39</sup>

### 5. Institutional Adjudication through Administrative Tribunals

The delay in justice administration, is one of the biggest obstacles which have been tackled with the establishment of Tribunals.<sup>40</sup> According to H.W.R Wade, “The social legislation of the twentieth century demanded tribunals for purely administrative reasons; they could offer speedier, cheaper, and more accessible justice, essential for the administration of welfare schemes involving large number of small claims. The process of Courts of law is elaborate, slow, and costly...Commissioners of customs and excise were given judicial powers more than three centuries ago. Tax tribunals were in fact established as far back as the 18th century.”<sup>41</sup>

According to Neil Hawke, “Administrative tribunals might well be referred to as ‘administrative courts’ since usually their task is to adjudicate disputes which arise from the statutory regulation of a wide variety of situations, some of which will involve decisions or other action by administrative agencies, or relationship between private individuals.”<sup>42</sup>

The increasing complexity of governance, expansion of the welfare state, and rise in service-related disputes created an urgent need for specialised adjudicatory forums distinct from ordinary courts. Recognising this, the Indian Constitution and Parliament paved the way for institutional adjudication through Administrative Tribunals, which today form the backbone of service justice for civil servants.

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<sup>39</sup> Supra 3 at 584.

<sup>40</sup> Sinha, S. B., 4 “Judicial Reform in Justice-Delivery System”, 35 JOUR, (2004).

<sup>41</sup> Wade, H.W.R & Forsyth, C.F., *Administrative Law*, 10, Oxford University Press, United Kingdom, 773, (2009).

<sup>42</sup> Hawke, Neil, *Introduction to Administrative Law*, 1 Cavendish Publishing Limited, 65 (1998).

The Administrative Tribunals Act, 1985 provides for the establishment of three kinds of administrative Tribunals:

- i. The Central Administrative Tribunal,
- ii. The State Administrative Tribunals and
- iii. The Joint Administrative Tribunals.

In *Gujarat Urja Vikas Nigam Ltd v. Essar Power Ltd*,<sup>43</sup> the Supreme Court of India made a reference to the Commission to examine and submit a Report pertaining to various issues relating to the Tribunals with regard to persons appointed, manner of appointment, duration of appointment etc., routine appeals to the Supreme Court affecting the constitutional role assigned to the Supreme Court, direct statutory appeals to the Supreme Court from the order of Tribunals bypassing the High Courts and to exclude jurisdiction of all the Courts in absence of equally effective alternative mechanism for Access to Justice at grass root level.

## **6. Challenges and Shortcomings**

Despite the elaborate constitutional and statutory framework created to protect civil servants and to provide them with a specialised forum for service dispute resolution, several challenges and shortcomings continue to undermine the effectiveness of these safeguards and tribunals.

These can be grouped under structural, procedural, and functional issues:

### **1. Political Interference and Lack of Independence**

Frequent transfers, politically influenced promotions, and undue pressure from the executive erode the impartiality of civil servants and undermine tribunal independence. Appointments and service conditions of tribunal members are still heavily controlled by the government, creating a perception of bias in a system meant to be neutral.

### **2. Procedural Delays and Bureaucratic Red Tape**

Administrative tribunals were envisaged as speedy forums, but excessive rules, vacancies, and

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<sup>43</sup> *Gujarat Urja Vikas Nigam Ltd v. Essar Power Ltd*, (2016) 9 SCC 103.

rigid hierarchies now slow down case disposal. Pendency levels in CAT and SATs mirror those of regular courts, defeating the purpose of quick relief.

### **3. Corruption and Weak Transparency Mechanisms**

Absence of strict anti-corruption safeguards within administrative processes and tribunals lowers public trust. Favouritism in appointments or inconsistent application of service rules weakens accountability.

### **4. Ineffective Performance Management**

Existing systems of evaluation neither reward efficient officers nor effectively discipline underperformers. Tribunals themselves lack performance metrics like disposal timelines or compliance rates, reducing their credibility.

### **5. Lag in Technological Adaptation**

Many administrative and tribunal processes still rely on outdated methods. Limited use of e-filing, online case management, and virtual hearings leads to inefficiency and reduced accessibility especially for employees in remote areas.

### **6. Overburdened Workforce and Vacancies**

Shortages of staff in departments and in tribunal benches overload existing personnel, causing burnout and delays. This undermines the promise of speedy and specialised adjudication.

### **7. Legal and Job Security Concerns**

Despite Article 311 safeguards, instances of arbitrary suspensions, transfers or politically motivated actions continue. Fear of sudden punitive measures discourages civil servants from taking bold or impartial decisions, making constitutional protections less effective in practice.

### **8. Weak Public-Centric Approach**

There remains a gap between policy formulation, tribunal outcomes, and the actual experience of employees or citizens. Limited outreach, lack of legal aid, and complex procedures make it harder for lower-rank civil servants to access justice.

## **7. Conclusion**

Civil servants constitute the backbone of India's administrative machinery, and the Constitution, through Articles 309–323, envisages a system of service protections to ensure that this backbone remains both impartial and effective. The study of constitutional safeguards and the role of Administrative Tribunals reveals that India has created a reasonably comprehensive legal architecture to protect civil servants from arbitrary action while simultaneously maintaining accountability to the public interest.

However, the research also highlights that mere existence of safeguards is not enough. Persistent challenges political interference, procedural delays, weak transparency, inadequate technological adaptation, and uneven performance management have diluted the objectives of these protections. Administrative Tribunals, which were intended to provide swift and specialised adjudication, now face issues similar to regular courts, including vacancies, pendency, and questions of independence.

A key finding is that the effectiveness of constitutional safeguards and tribunals depends not only on the strength of the legal provisions but also on their practical implementation. Stronger merit-based systems, digital integration, transparent appointment processes, and citizen-centric approaches can restore the credibility of civil services and their adjudicatory mechanisms.

Thus, the future of civil service protections lies in striking a dynamic balance: ensuring security and fairness to officers while upholding accountability and efficiency in governance. Only by modernising institutional frameworks and reinforcing procedural integrity can constitutionally safeguards and administrative tribunals truly serve their intended purpose strengthening an impartial, professional, and responsive civil service for a democratic India.

## **8. Suggestions and Way Forward**

To revitalise the system of constitutional safeguards and institutional adjudication for civil servants, reforms must focus simultaneously on legal protections, administrative processes, and service culture. The following steps can be considered:

### **1. Merit-Based Appointments and Promotions**

- Reinforce transparent and merit-driven recruitment processes in both the civil service

and in appointments to tribunals.

- Establish independent selection committees for tribunal members to reduce favouritism and political influence.
- Introduce regular training and skill development programmes for civil servants and tribunal staff to enhance competence and consistency in decision-making.

## **2. Strengthening Legal Protections**

- Tighten statutory and constitutional safeguards against undue political interference—especially arbitrary transfers or dismissals.
- Strengthen Article 311 protections through clear procedural rules, making it harder to bypass inquiries except in strictly defined cases (national security, criminal conviction).
- Mandate a time-bound implementation of tribunal orders and empower tribunals with limited contempt or enforcement powers.

## **3. Performance-Based Evaluation and Accountability**

- Introduce a structured performance assessment system for civil servants and for tribunal functioning.
- Set clear Key Performance Indicators (KPIs) such as time-bound disposal of cases, compliance rates with tribunal orders, and publication of annual reports.
- Use these metrics for promotions, incentives, and policy improvements.

## **4. Technological Integration in Administration and Tribunals**

- Promote e-governance and digitisation of administrative processes to reduce delays and improve transparency.
- Enable online filing, case tracking, and virtual hearings in administrative tribunals to make them accessible to employees in remote areas and reduce pendency.
- Use data analytics to identify bottlenecks and measure tribunal performance.

## **5. Ethical and Anti-Corruption Measures**

- Strengthen anti-corruption mechanisms within civil services and tribunals.
- Incorporate ethics training and accountability frameworks to ensure impartial and responsible governance.
- Create whistle-blower protection systems for civil servants who expose maladministration or corruption.

## **6. Public Service Orientation**

- Encourage a citizen-centric approach among civil servants by promoting regular interaction with the public and efficient grievance redressal mechanisms.
- Make tribunal proceedings and outcomes more transparent through easily accessible judgments and statistics, increasing public trust in service justice.

## **7. Institutional Strengthening of Tribunals**

- Increase the number of tribunal benches and members in high-pendency zones.
- Develop a uniform code of procedure for CAT and SATs to reduce inconsistencies.
- Consider a national appellate administrative tribunal to harmonise conflicting decisions and reduce multiple layers of litigation.

## **8. Periodic Review and Oversight**

- Establish an independent oversight body (with representation from judiciary, executive, and civil society) to monitor tribunal functioning, pendency, and compliance.
- Require annual reports of CAT and SATs to be tabled before Parliament or State Legislatures for greater accountability.

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